



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/662,570	09/15/2003	Jonathan S. Stinson	06530.0374-00000	9734

22852 7590 03/12/2009
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER
LLP
901 NEW YORK AVENUE, NW
WASHINGTON, DC 20001-4413

EXAMINER

HOUSTON, ELIZABETH

ART UNIT	PAPER NUMBER
----------	--------------

3731

MAIL DATE	DELIVERY MODE
-----------	---------------

03/12/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.		Applicant(s)	
	10/662,570		STINSON ET AL.	
	Examiner		Art Unit	
	ELIZABETH HOUSTON		3731	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 November 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 24-34 and 47-67 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 24-34 and 47-67 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____. |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____. | 6) <input type="checkbox"/> Other: _____. |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/21/08 has been entered.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 24-34 and 47-67 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weaver (US 5,599,299) in view of Dua (US 2002/0032487).

4. Weaver discloses: A stent delivery system (For example figures 17-20) comprising: (a) an inner catheter (60), said inner catheter being provided with a first longitudinally extending lumen (74); (b) perforating means (papillotome) slidably disposed in said first longitudinally extending lumen (C13:L45-46); (c) a distal tip including a plurality of distally located apertures (Fig. 20), wherein one of the plurality of apertures is in communication with the first longitudinally extending lumen and is

Art Unit: 3731

configured to receive the perforating means (C13:L45-46); the system further comprising an endoscope, wherein the outer catheter is sized for receipt within the endoscope and the endoscope is configured for intraoral introduction (C6:L52-58). The perforating means is a needle capable of being retracted (papillotome). The inner catheter comprises a second lumen for a guidewire (75) and a guidewire (C13:L48) and a third lumen (76) for delivering a dye (C13:L49) where the lumens are in communication with the apertures. The distal tip is integral with the inner catheter and is capable of penetrating tissue without the use of a guidewire (see Fig. 2, 6). The apertures are located approximately at the same location, face a same direction and are distal to the stent (Figs. 17-20).

Weaver discloses a biliary stent (62) mounted over the inner catheter but does not disclose that the stent is self-expanding with an outer catheter. However Dua discloses a biliary stent that can either be non-expanding or self expanding. The self expanding stent is made of braided filamentary material (Fig. 2 (24)); has a uniform expanded diameter (central portions 23); is shaped to include a waist (23) and a pair of cuffs (20, 21 Para [0029]). The stent is capable of draining a gastric pseudocyst. The expanded diameter is greater than 8mm (Para [0029]). Dua discloses the use of an outer catheter (delivery catheter: Para [0040]) to surround at least a portion of the length of said inner catheter and adapted for axial movement relative to said inner catheter. The outer catheter is dimensioned to maintain said self-expandable stent in a compressed state and the stent is disposed between the inner catheter and the outer catheter. It would have been obvious to one having ordinary skill in the art at the time of the invention to

Art Unit: 3731

incorporate a self-expanding biliary stent with the outer catheter in place of the stent disclosed by Weaver since Dua teaches that the two structures are equivalents known in the art. One of ordinary skill in the art would have found it obvious to substitute one for the other, since substitution of one known element for another would have yielded predictable results, namely a biliary stent that allows for drainage.

5. Weaver modified by Dua does not explicitly disclose the outer catheter extends over a majority of the length of the inner catheter. However it is old and well known for a guide catheter used as a restraining sheath in stent delivery to extend over a majority of the length of the inner catheter so that there is a proximal end that can be manipulated by the user.

6. Regarding the material of claims 27-29, it would have been obvious to one having ordinary skill in the art at the time of the invention to substitute nonabsorbable plastic or bioabsorbable material, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

7. Regarding claims 32 and 48, Weaver modified by Dua does not disclose the claimed dimensions, but does disclose that the size of the stents can be customized to meet particular demands of any human (Dua: Para [0029]). It would have been an obvious matter of design choice to a person of ordinary skill in the art to vary the size of the stent depending on the size of the person and the location in the body. Such a modification would have involved a mere change in the size of a component, which is

generally recognized as being within the level of ordinary skill in the art. *In re Rose*, 105 USPQ 237 (CCPA 1955).

Response to Arguments

8. Applicant's arguments with respect to claims 24-34, 47-67 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

9. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ELIZABETH HOUSTON whose telephone number is (571)272-7134. The examiner can normally be reached on M-F 9:00-5:00.

Art Unit: 3731

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anhtuan Nguyen can be reached on 571-272-4963. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/E. H./

Examiner, Art Unit 3731

/Anhtuan T. Nguyen/

Supervisory Patent Examiner, Art Unit 3731

3/10/09